

Receipt # 5



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Date of Deposit

Jasper W. Dockrey

Name of applicant, assignee or  
Registered Representative

[Signature]  
Signature  
11/30/01  
Date of Signature

Our Case No. 10519/30

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Vivek Subramanian et al. )  
)  
Serial No. 09/939,498 )  
)  
Filing Date: 8/24/2001 )  
)  
For: Vertically Stacked Field Programmable )  
Nonvolatile Memory and Method of Fabrication )

Group Art Unit: 2818

REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Attention: Application Processing Division  
Customer Correction Branch

Sir:

Applicants request the issuance of a corrected filing receipt (copy enclosed) for the above-identified application, and in support of this request respectfully state:

U.S. Serial No. 09/939,498  
Filed: 8/24/2001  
Page Two of Two

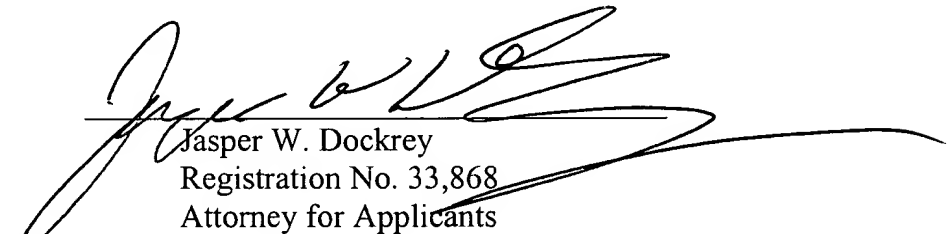
The Application was filed with fewer inventors than originally thought. Therefore, the names of the first, second and fourth inventors should be omitted. Vivek Subramanian and James M. Cleeves are the only inventors that should appear on this Application.

The Commissioner is hereby authorized to charge any fees required to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated:

11/30/01



Jasper W. Dockrey  
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Attorney for Applicants

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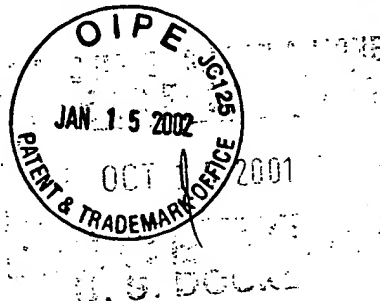


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/939,498	08/24/2001	2818	728	10519/30	24	21	3

00757  
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CHICAGO, IL 60610



CONFIRMATION NO. 3758

## FILING RECEIPT



\*OC000000006863344\*

Date Mailed: 10/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Mark G. Johnson, Los Altos, CA;  
Thomas H. Lee, Cupertino, CA;  
Vivek Subramanian, Menlo Park, CA;  
Paul Michael Farmwald, Portola Valley, CA;  
James M. Cleaves, Redwood City, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/714,440 11/15/2000  
WHICH IS A CON OF 09/469,658 12/22/1999 PAT 6,185,122  
WHICH IS A DIV OF 09/192,883 11/16/1998 PAT 6,034,882

## Foreign Applications

If Required, Foreign Filing License Granted 10/05/2001

Projected Publication Date: 01/17/2002

N n-Publication Request: No

Early Publication Request: No

## Title

Vertically stacked field programmable nonvolatile memory and method of fabrication

Preliminary Class

365



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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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